SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Van Siclen	•	ting Person [*]			2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person (Check all applicable) Dynatrace, Inc. DT X Director							Person	(s) to Issue 10% C						
(Last)	(First)	(M	liddle)			te of Ea 0 <mark>5/201</mark>		ransac	ction (Month/Day/Year)					x	below)	Officer (give title below)		below)	(specify
C/O DYNATE	RACE, INC														Ch	ief Exe	cutive	Officer	
1601 TRAPE		SUITE 116			4. lf A	mendn	nent, Da	ate of C	Drigina	al Filed (Month/Day/Ye	ear)	ĺ	6. Indi	vidual or Joir	nt/Group	Filing (C	heck Appli	cable Line)
(Street)														Х			•	ing Person	D
WALTHAM	MA	02	2451												Formine	d by wor	e man c	One Reporti	ng Person
(City)	(State)	(Zi	ip)																
		Ta	able I - I	Non-Dei	rivativ	e Sec	uritie	s Acc	quire	ed, Di	sposed of	, or Bene	eficial	ly Ov	vned				
1. Title of Securit	y (Instr. 3)			2. Transa Date (Month/Da		Execu if any	eemed ution Dat h/Day/Ye) c	Transa Code (I		4. Securities A Of (D) (Instr. 3	Acquired (A) 3, 4 and 5)	or Disp	osed	5. Amount Securities Beneficially Following F	Owned Reported	6. Owr Form: or Indi (Instr.	Direct (D) rect (I)	7. Nature of Indirect Beneficial Ownership
								c	Code	v	Amount	(A) or (D)	Price		Transactior (Instr. 3 and				(Instr. 4)
Common Stor	:k			08/05/	2019				S	Π	407,170(1) D	\$1 <u>5</u> .	1424	2,296,	657		D	
Common Stoo	k														502,0)25			See Footnote ⁽²⁾
Common Stoo	k							T							903,6	645			See Footnote ⁽³⁾
Common Stor	k									Π					7,53	31		1	By Son
			Table I								osed of, o			Own	ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deen Executio if any (Month/E	ned on Date,	4. Transac Code (In 8)	tion	5. Numl Derivati Securiti Acquire or Disp (D) (Ins and 5)	ber of ive ies ed (A) osed of	6. E Exp (Mo		ercisable and Date	7. Title and Amou Securities Underl Derivative Securi (Instr. 3 and 4)		ing	8. Price of Derivative Security (Instr. 5)	9. Numb derivati Securiti Benefic Owned Followin Reporte Transac	ve es ially ng ed	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Dat	te ercisable	Expiration e Date	Title	or Num	ount iber hares		(Instr. 4)			

Explanation of Responses:

1. These shares were sold by the Reporting Person in connection with Company's Initial Public Offering (the "IPO") at a net price per share of \$15.1424 (after underwriting discounts and commissions). The reporting person was a Selling Stockholder in the IPO.

2. Shares held by the John W. Van Siclen 2019 Irrevocable Trust, for which the Concord Trust Company serves as trustee. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

3. Shares held by the Nancy R. Van Siclen 2019 Irrevocable Trust, for which the Concord Trust Company serves as trustee. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Remarks:

an Siclen	Van	/s/ John
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** Signature of Reporting Person

08/07/2019

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.