SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * Pace Stephen J. | | | | | | 2. Issuer Name and Ticker or Trading Symbol <u>Dynatrace, Inc.</u> [DT] | | | | | | | | | tionship of f all applicat Director | | porting Person(s) to Issuer) 10% Owner | | |
|--|--|-----|--|--|--|--|---|--------|------------------|--|---|---------------------------|---|---|---|--|--|---------|---|
| (Last) | Last) (First) (Middle) | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 02/16/2021 | | | | | | | | x | Officer (g below) | ve title Other (s below) | | specify | |
| C/O DYNATF | | | | | | | | | | | | SVF, Gi | Juai S | ales | | | | | |
| 1601 TRAPELO ROAD, SUITE 116 | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | |
| (Street) | | | | | | | | | | | | | | | Form filed by More than One Reporting Person | | | | |
| WALTHAM MA 024 | | | 2451 | | | | | | | | | | | | | | | | |
| (City) | (State) | (Zi | p) | | | | | | | | | | | | | | | | |
| | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | |
| Date | | | | | ate Ionth/Day/Year) i | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a | | | | nd 5) Securities Beneficial Following | | | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | | | | Code | v | Amount | | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | (|
| Common Stock 02/1 | | | | | 6/2021 | | | | S ⁽¹⁾ | | 743 D \$ | | \$53.53 | 73, | 560 | | D | | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| Security (Instr. 3) or Exercise (Month/Day/Year) if any | | | Transaction Deri Code (Instr. Sec) Acq or D (D) | | 5. Numb Derivativ Securitic Acquired or Dispo (D) (Inst and 5) | ve es d (A) osed of | 6. Date E Expiratio (Month/I | on Dat | |) Securities Underl) Derivative Securi (Instr. 3 and 4) | | iderlying curity I) | 8. Price of Derivative Security (Instr. 5) | 9. Number derivative Securities Beneficia Owned Following Reported Transacti | e (s F illy I g (| 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | | | | | | Amount | | (Instr. 4) | | | |

Date Exercisable Expiration Date

Title

attorney

Explanation of Responses:

1. Represents the number of shares required to be sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of RSUs.

Code V

(A)

(D)

Remarks:

/s/ Craig Newfield, by power of 02/18/2021

02/1

** Signature of Reporting Person

Number of Shares

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.