SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Van Siclen John						2. Issuer Name and Ticker or Trading Symbol Dynatrace, Inc. [DT]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last)	(First)	(M	(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 07/15/2020							x	Officer (g below)	jive title		Other (below)		
C/O DYNATRACE, INC.														Chief Executive Officer					
1601 TRAPELO ROAD, SUITE 116					4. lf .	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indi	6. Individual or Joint/Group Filing (Check Applicable Line)					
(0)=====					-									X			•	ing Person	
(Street) WALTHAM	MA	02	2451												Form file	d by More	e than C	One Reportir	ng Person
(City)	(State)	(Zi	ip)		-														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date				2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Cod	Transaction Code (Instr.		4. Securities Acquired (A) or Disp Of (D) (Instr. 3, 4 and 5)			sposed	5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
								Cod	ie	v	Amount	(A) or (D)	Price		- Transaction (Instr. 3 and			ľ	(Instr. 4)
Common Stock 07/				07/15/2	2020			S	(1)		39,801	D	\$ <mark>4</mark> 1	.1142(2)	1,414	,360		D	
Common Stock				07/15/2020			5		(1)		15,199	D	\$41	.9422(3)	1,399,161		D		
Common Stock															502,025				See Footnote ⁽⁴⁾
Common Stock														303,645				See Footnote ⁽⁵⁾	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
Security (Instr. 3) or Exercise (Month/Day/Year) if any		med 4. Transac Code (I Day/Year) 8)				ve es d (A) osed of	A) ed of			7. Title and Amoun Securities Underly Derivative Securit (Instr. 3 and 4)		erlying	8. Price of Derivative Security (Instr. 5)		ve Ownersh es Form: ally Direct (D or Indire- ng (I) (Instr.	Ownership	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date	e rcisable	Expiration Date	Title		Amount or Number of Shares		(Instr. 4)			

Explanation of Responses:

1. This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 12, 2019.

The price reported in Column 4 represents a weighted average price. The shares were sold in multiple transactions at prices ranging from \$40.51 to \$41.50, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.
 The price reported in Column 4 represents a weighted average price. The shares were sold in multiple transactions at prices ranging from \$41.57 to \$42.19, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.
 The price reported in Column 4 represents a weighted average price. The shares were sold in multiple transactions at prices ranging from \$41.57 to \$42.19, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.
 Shares held by the John W. Van Siclen 2019 Irrevocable Trust, for which the Concord Trust Company serves as trustee. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

5. Shares held by the Nancy R. Van Siclen 2019 Irrevocable Trust, for which John Van Siclen serves as trustee. The reporting person disclaims beneficial ownership of these securities, and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Remarks:

/s/ Craig	Newfield,	by	power	of	07/16/2020
		-			07/16/2020

<u>attorney</u>

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.